

Message Text

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ORIGIN EB-08

INFO OCT-01 EUR-12 ISO-00 DODE-00 NSAE-00 ICA-11
TRSE-00 SOE-02 DOE-15 CIAE-00 COME-00 /049 R

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EUR/CE:JHURLEY

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R 062218Z JUN 78
FM SECSTATE WASHDC
TO AMEMBASSY BERN

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E.O. 11652: NA

TAGS: ESTC, COCOM SZ

SUBJECT: SWISS SEMICONDUCTOR MANUFACTURING EQUIPMENT
TO EASTERN COUNTRIES -

REFL A) BERN 2094, B) STATE 7051

1. EMBASSY REQUESTED TO PASS FOLLOWING TO KRELL:
TWO CITED 1175 CASES:

A. (1) SWISS DEPARTMENT PUBLIC ECONOMY, DIVISION OF
COMMERCE, HAS INFORMATION ON TWO CASES INVOLVING
SWITZERLAND MENTIONED REFTEL B, PARAGRAPH 2, PARTS AND B.

(2) PART A PERTAINS TO HONEYWELL GMBH/SEMI-CON/HELMUT
SEIER INVESTIGATION BY USDOC OFFICE OF EXPORT ADMINISTRA-
TION/COMPLIANCE DIVISION (OEA/CD). CASE NO. IS 23(75)-4.
(REFER TO BERN 2008, 5/14/75; BERN 2302, 6/4/75; AND
BERN 2797, 7/8/75).

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(3) PART B PERTAINS TO I.I. INDUSTRIES /KUEHNE AND
NAGEL (ZURICH)/ SEMITRONIC INVESTIGATION. OEA/CE CASE
NO. IS 24(75)-1.

B. RE QUESTION IN REFTEL A, PARAGRAPH 2B, OEA/CD INVESTI-
GATION DID NOT UNCOVER ANY FURTHER EVIDENCE OF BALZER

EXPORT TO EAST OF ION IMPLANATATION EQUIPMENT BEYOND
INFORMATION PROVIDED BY MAX KRELL. (REFER TO BERN 3135,
7/14/76).

C. (1) RE REFTTEL A, PARAGRAPH 2C, (1) USG DOES NOT
ACCEPT SWISS POSITION THAT PARTS LOSE IDENTITY WHEN
INCORPORATED IN EQUIPMENT. POSITION SPECIALLY DIFFICULT
TO MAINTAIN WHERE BALZER AND SEIER EQUIPMENT CONCERNED.
U.S.-ORIGIN PARTS ESSENTIAL FOR FUNCTIONING OF THEIR
EQUIPMENT.

(2) SWISS GOVERNMENT ISSUANCE OF SWISS BLUE IMPORT
CERTIFICATES TO FASELEC AND BALZERS WAS TACIT RECOGNITION
OF STRATEGIC NATURE OF U.S.-ORIGIN PARTS. U.S. UNDER-
STANDING WAS THAT, UNLESS OTHERWISE NOTED, SUCH PARTS
FOR CONSUMPTION IN SWISS ECONOMY. INTEGRITY OF SWISS
BLUE UNDERMINED BY CONCEALMENT OF END-USE/FINAL
DESTINATION INFORMATION WHEN APPLICATION MADE FOR U.S.
EXPORT LICENSES.

(3) ULTIMATE CONSIGNEES IN NON-COCOM COUNTRIES
(SWITZERLAND AND YUGOSLAVIA EXCEPTED) ARE REQUIRED TO
COMPLETE FORM DIB-629 "STATEMENT BY ULTIMATE CONSIGNEE
AND PURCHASER" FOR SUPPORTING DOCUMENTATION FOR U.S.
EXPORT LICENSE APPLICATIONS. INCLUDED ON FORM IS
SPACE FOR ULTIMATE CONSIGNEE CERTIFICATION AS TO
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WHETHER U.S.-ORIGIN GOODS WILL BE PROCESSED OR INCORPORATED
INTO PRODUCTS (MUST SPECIFY) FOR DISTRIBUTION IN (MUST
IDENTIFY COUNTRY/COUNTRIES). SUCH FULL DISCLOSURE ENABLES
USG TO APPROVE OR DISAPPROVE EXPORT LICENSE APPLICATIONS IN
LIGHT OF THIS END-USE/END-USER INFORMATION.

D. U.S. VIEW IS THAT ION IMPLANTATION EQUIPMENT IS
CURRENTLY COVERED UNDER SUB-ITEM (B)(1) OF THE
INTERNATIONAL LIST ALTHOUGH WE AGREE THAT THERE IS SOME
AMBIGUITY IN PRESENTLY WORDED DEFINITION. WE HAVE
ATTEMPTED CLEAR THIS UP WITH PROPOSAL DURING PREVIOUS
LIST REVIEW AND CURRENTLY HAVE REVISED PROPOSAL BEING
PRESENTED TO COCOM FOR NEXT LIST REVIEW. WIRE BONDERS
ARE COVERED BY SUB-ITEM (B)(3). THIS WILL ALSO BE MORE
EXPLICIT IN OUR NEWLY PROPOSED DEFINITION.

2. DEPT WOULD APPRECIATE RECEIVING KRELL'S REACTION TO
THE ABOVE. VANCE

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Message Attributes

Automatic Decaptioning: X
Capture Date: 01 jan 1994
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: STRATEGIC TRADE CONTROLS, SEMICONDUCTOR DEVICES, REEXPORTS
Control Number: n/a
Copy: SINGLE
Draft Date: 06 jun 1978
Decaption Date: 01 jan 1960
Decaption Note:
Disposition Action: RELEASED
Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment: 25 YEAR REVIEW
Disposition Date: 20 Mar 2014
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1978STATE143171
Document Source: CORE
Document Unique ID: 00
Drafter: RMCGEHAN:DJ
Enclosure: n/a
Executive Order: N/A
Errors: N/A
Expiration:
Film Number: D780237-0618
Format: TEL
From: STATE
Handling Restrictions: n/a
Image Path:
ISecure: 1
Legacy Key: link1978/newtext/t19780689/aaaaczby.tel
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Litigation Codes:
Litigation History:
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Message ID: 56bce390-c288-dd11-92da-001cc4696bcc
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Previous Handling Restrictions: n/a
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Review Content Flags:
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TAGS: ESTC, SZ, COCOM, (KRELL, MAX)
To: BERN
Type: TE
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Review Markings:
Sheryl P. Walter
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20 Mar 2014
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